# COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

# SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME

the specification of which (check one)  $\_$  is attached hereto or  $\underline{X}$  was filed on  $\underline{May 25, 2001}$  as Application Serial No.  $\underline{09/866,028}$  and was amended on  $\_$  (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56.

Thereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate have a filing date before that of the application on which priority is claimed: **Priority Claimed** Prior Foreign Application(s): No Yes Day/Month/Year Filed Number Country Thereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional applications(s) listed below: See attached Appendix A (listing 18 U.S. Provisional Patent Applications) Application Ser. No. Filing Date I hereby claim the benefit under Title 35, United States Code, §120 of any United States applications(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application: See Attached Appendix B (listing 19 U.S. and PCT Patent Applications) Status: Patented, Pending, Abandoned Application Ser. No. Filing Date Status: Patented, Pending, Abandoned Filing Date Application Ser. No.

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PATENT TRADEMARK OFFICE

Send correspondence to Genentech, Inc.

Attn: Elizabeth M. Barnes, Ph.D. Telephone: (650) 225-4461

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Full name of sole or first inventor	
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Şouth San Francisco, CA 94080	
Full name of second joint inventor, if any	
rame or second joint inventor, it any	
David Botstein	
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laventor's signature	Date
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2559 Bolikiset Drive, Belliont, Camornia 94002	
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us `	
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Full name of third joint inventor, if any	
Dan L. Eaton	
Inventor's signature	Date
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Chtizenship US	
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Fulf name of sixth joint inventor, if any Mary E. Gerritsen	
Inventor's signature	Date
Residence 541 Parrott Drive, San Mateo, California 94402	
Ottizenship	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of seventh inventor	
Audrey Goddard	
Inventor's signature	Date
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Citizenship CA	
Post Office Address 1 DNA Way South San Francisco, CA 94080	

# Full name of eighth joint inventor, if any

### Paul J. Godowski

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Citizenship US	
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Füll name of tenth inventor  Auştin L. Gurney	
Inventor's signature	Date
Residence Pebbie Lane, Belmont, California 94002	
Citizenship US	
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Full name of eleventh joint inventor, if any	
Kenneth J. Hillan .	
Inventor's signature	Date
Residence 64 Seward Street, San Francisco, California 94114	
Citizenship GB	
Post Office Address 1 DNA Way South San Francisco, CA 94080	

Ivar J. Kljavin Inventor's signature Date Residence 811 Dell Road, Pacifica, California 94044 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of thirteenth inventor Mary A. Napier Inventor's signature Date Residence 1015 Hayne Road, Hillsborough, California 94010 Citizenship บูร Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of fourteenth joint inventor, if any Margaret Ann Roy Inventor's signature Date Residence 2960 Webster Street #4, San Francisco, California 94123 Citizenship US. Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of fifteenth joint inventor, if any **Daniel Tumas** Inventor's signature Date Residence 3 Rae Avenue, Orinda, California 94563 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080

Full name of twelfth joint inventor, if any

Full name of sixteenth inventor

William I. Wood	
Inventor's signature	Date
Residence	
35 Southdown Court, Hillsborough, California 94010	
Citizenship	
US	
Post Office Address	
1 DNA Way	
South San Francisco, CA 94080	

## **APPENDIX A**

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60/067,411 filed December 3, 1997;
60/069,334 filed December 11, 1997;
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Docket No. P2548P1C1

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Number	Country	Day/Month/Year Filed			
<b>1</b> \$			y United States provisional applica	tions(s) lis	sted below:
Application Ser.		ng 18 U.S. Provisional Pa Filing Date	tent Applications)		
as the subject ma provided by the fi defined in Title 3	atter of each of the claim irst paragraph of Title 35	s of this application is not disclos , United States Code, §112, I acl ulations, §1.56 which occurred I	United States applications(s) liste ed in the prior United States applications application of the prior United States applications applications applications are stated in the prior of the	cation in t iterial info	he manner irmation as
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Kevin P. Baker	
Inventor's signature	Date
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17006 Indian Run Drive, Darnestown, Maryland 20878	
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Full name of second joint inventor, if any	
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Full name of tenth inventor		
Austin L. Gumey		
Inventor's signature	Date	
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Ivar J. Kljavin Date Inventor's signature Residence 811 Dell Road, Pacifica, California 94044 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of thirteenth inventor Mary A. Napier Date Inventor's signature 1015 Hayne Road, Hillsborough, California 94010 Citizenship บร Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of fourteenth joint inventor, if any Margaret Ann Roy Inventor's signature Date Residence 2960 Webster Street #4, San Francisco, California 94123 Citizenship ÚS Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of fifteenth joint inventor, if any **Daniel Tumas** Inventor's signature Date Residence 3 Rae Avenue, Orinda, California 94563 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080

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Full name of fifth joint inventor, if any	
Ellen Filvaroff	
Inventor's signature  **Many Many Many Many Many Many Many Many	7/31/01
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Citizenship CA	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of seventh inventor	
Audrey Goddard	
Inventor's signature	Date 8/1/01
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Full name of eighth joint inventor, if any	
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Inventor's signature	Date 7   38/01
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Inventor's signature	Date 8/6/2011
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Citizenship	
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Inventor's signature  W	Date   +   <
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Mary A. Napier	
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Post Office Address 1 DNA Way South San Francisco, CA 94080	
Filliname of fourteenth joint inventor, if any Margaret Ann Roy	
Inventor's signature	Date
Residence 2960 Webster Street #4, San Francisco, California 94123	
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Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of fifteenth joint inventor, Pany  Daniel Tumas	9-7:001
Inventor's signature	Date .
Residence 3 Rae Avenue, Orinda, California 94563	
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€.41	name	Λf	aivtac	nth	invor	utar

William	1 Wood

A	
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**Priority Claimed** 

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the specification of which (check one) is attached hereto or  $\underline{X}$  was filed on  $\underline{May 25, 2001}$  as Application Serial No.  $\underline{09/866,028}$  and was amended on \_ (if applicable).

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I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate have a fitting date before that of the application on which priority is claimed:

	, , ,				<u>Y</u>	<u>'es</u>	<u>No</u>
Number	Country	Day/Month/\	ear Filed				
1 THE		United States Code, §1			al application	ns(s) lis	sted below:
See attached	Appendix A (list	ing 18 U.S. Provis	<u>ional Patent <i>P</i></u>	pplications)			
Application Ser.		Filing Date , United States Code, §					
provided by the fi defined in Title 3 national or PCT	irst paragraph of Title 3 7, Code of Federal Re international filing dat	• •	§112, I acknowled occurred between	dge the duty to dis n the filing date o	sclose mater	ial info	rmation as
<u>See Attached</u>	<u>l Appendix B (list</u>	ting 19 U.S. and Po	CT Patent Apr	olications)			
Application Ser.	No.	Filing Date	Statu	s: Patented, Pe	nding, Aban	doned	I
Application Ser.	No.	Filing Date	Statu	s: Patented, Pe	nding, Aban	doned	

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09157
PATENT TRADEMARK OFFICE

Send correspondence to Genentech, Inc.

1 DNA Way

South San Francisco, CA 94080

Attn: Elizabeth M. Barnes, Ph.D. Telephone: (650) 225-4461

Thereby declare that all statements made herein of my own knowledge and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that willful false statements may jeopardize the validity of the application or any patent issued thereon.

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Full name of sole or first inventor	
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Inventor's signature	Date
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Part Office Address	<del></del>

Full name of forth inventor	
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Full name of seventh inventor	
Audrey Goddard	
Inventor's signature	Date
Residence 110 Congo Street, San Francisco, California 94131	
Citizenship CA	
Post Office Address 1 DNA Way South San Francisco, CA 94080	

Full name of eighth joint inventor, if any

Paul J.	Godowski	

	Date	·
Inventor's signature	Date	
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US		
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Full name of tenth inventor		
L. T.		
Austin L. Gurney		
Inventor's signature	Date	
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Citizenship		
US	••	
specially specially 10		
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Full name of eleventh joint inventor, if any		
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Inventor's signature	Date	
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Ivar J. Kljavin

	Date
Inventor's signature	
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	Date
Inventor's signature	Date
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Citizenship US.	
Pest Office Address	
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STATEMENT STATEM	
Full name of fourteenth joint inventor, if any	
Margaret Ann Roy	
	Data
Inventor's signature	Date
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Residence	
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riching .	
Citizenship	
US	
Post Office Address	
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Full name of fifteenth joint inventor, if any	
Daniel Tumos	
Daniel Tumas	
Inventor's signature	Date
•	
Residence	
3 Rae Avenue, Orinda, California 94563	
Citizenship	
US	
Post Office Address	
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South San Francisco, OA 54000	

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C. 41		~4	sixteenth	invento
-181	name	$\alpha$	sixteenin	mvenio

William I. Wood

Inventor's signature	Date	
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Citizenship US		
Post Office Address 1 DNA Way South San Francisco, CA 94080		

### **APPENDIX A**

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional applications listed below:

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60/067,411 filed December 3, 1997;
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### APPENDIX B

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Lharaby claim foreign priority banafits under Title 25. United State r

Prior Foreign Ap	plication(s):				Priority Yes	Claimed No
A STATE OF THE STATE OF T					165	
Number	Country	Day/Month/Ye	ear Filed			
Œ.		, United States Code, §11			ications(s) li	sted below:
See attached	I Appendix A (lis	<u>ting 18 U.S. Provisio</u>	onal Patent App	ications)		
Application Ser.		Filing Date				
as the subject m	atter of each of the cla irst paragraph of Title	5, United States Code, §12 ims of this application is no 35, United States Code, § egulations, §1.56 which o	ot disclosed in the pri 112, I acknowledge t	or United States ap he duty to disclose	plication in material info	the manner ormation as
defined in Title 3		te of this application:				
defined in Title 3 national or PCT	international filing da	te of this application:		ations)		
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Inventor's signature	Date	
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14000 Hulan Kun Dive, Daniestown, Mary and 20070		
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Frank		
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Maty E. Gerritsen		
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Full name of eighth joint inventor, if any Paul J. Godowski Date Inventor's signature Residence 2627 Easton Drive, Burlingame, California 94010 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of ninth joint inventor, if any J. Christopher Grimaldi Date Inventor's signature Residence 1434 36th Avenue, San Francisco, California 94122 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of tenth inventor Austin L. Gurney Date Inventor's signature Residence 1 Debbie Lane, Belmont, California 94002 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of eleventh joint inventor, if any Kenneth J. Hillan Date Inventor's signature Residence 64 Seward Street, San Francisco, California 94114 Citizenship GB Post Office Address 1 DNA Way South San Francisco, CA 94080

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Mary A. Napier	July 20, 2001
Inventor's signature . Napier	/ Date/
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Full name of sixteenth inventor

William I. Wood			
Inventor's signature	Date		
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Citizenship			
US			
Post Office Address			
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South San Francisco, CA 94080			

## **APPENDIX A**

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## **APPENDIX B**

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in the second se		•					
Prior Foreign Appli	ication(s):					<u>Priority</u> Yes	Claimed No
Comment of the commen						100	110
Number	Country	Day/Montl	n/Year Filed				
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Application Ser. No		Filing Date			·		
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Application Ser. No		Filing Date		atus: Patente		Abandone	d
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Inventor's signature	Date	
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Full	name	of	sixteenth	invento
------	------	----	-----------	---------

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